

# Nonlinear coupling between cerebral blood flow, oxygen consumption, and ATP production in human visual cortex

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The purpose of this study was to investigate activation-induced hypermetabolism and hyperemia by using a multifrequency (4, 8, and 16 Hz) reversing-checkerboard visual stimulation paradigm. Specifically, we sought to (i) quantify the relative contributions of the oxidative and nonoxidative metabolic pathways in meeting the increased energy demands [i.e., ATP production ( $J_{ATP}$ )] of task-induced neuronal activation and (ii) determine whether task-induced cerebral blood flow (CBF) augmentation was driven by oxidative or nonoxidative metabolic pathways. Focal increases in CBF, cerebral metabolic rate of oxygen (CMRO<sub>2</sub>; i.e., index of aerobic metabolism), and lactate production ( $J_{Lac}$ ; i.e., index of anaerobic metabolism) were measured by using physiologically quantitative MRI and spectroscopy methods. Task-induced increases in  $J_{ATP}$  were small (12.2–16.7%) at all stimulation frequencies and were generated by aerobic metabolism (approximately 98%), with  $\% \Delta J_{ATP}$  being linearly correlated with the percentage change in CMRO<sub>2</sub> ( $r = 1.00$ ,  $P < 0.001$ ). In contrast, task-induced increases in CBF were large (51.7–65.1%) and negatively correlated with the percentage change in CMRO<sub>2</sub> ( $r = -0.64$ ,  $P = 0.024$ ), but positively correlated with  $\% \Delta J_{Lac}$  ( $r = 0.91$ ,  $P < 0.001$ ). These results indicate that (i) the energy demand of task-induced brain activation is small (approximately 15%) relative to the hyperemic response (approximately 60%), (ii) this energy demand is met through oxidative metabolism, and (iii) the CBF response is mediated by factors other than oxygen demand.

cerebral metabolic rate of oxygen | lactate production

The physiological mechanisms underlying task-induced, focal increases in brain blood flow have been a matter of speculation, experimentation, and debate for more than a century. Roy and Sherrington opened the dialogue with the observation that “the brain possesses an intrinsic mechanism by which its vascular supply can be varied locally in correspondence with local variations of functional activity” (1) and attributed these to vasodilatory properties of “the chemical products of cerebral metabolism” (1), with the presumption that metabolism was focally increased by neuronal activity. The Roy–Sherrington principle has been interpreted to mean that blood flow changes must be a function of a tight coupling between cellular energy requirements and the supplies of glucose and oxygen. Studies using preimaging radiotracer techniques demonstrated that brain blood flow can be markedly elevated by increased partial pressure of CO<sub>2</sub> and by decreased partial pressure of O<sub>2</sub>, a form of cerebrovascular autoregulation (2). These observations provided strong support for the Roy–Sherrington principle, as CO<sub>2</sub> is the primary “chemical product” of glucose oxidation, and extended the hypothesis to include substrate ([O<sub>2</sub>]) availability as a potent vascular regulator.

The first imaging-based measurements of cerebral metabolic rate of O<sub>2</sub> (CMRO<sub>2</sub>) during task performance were reported in the early 1980s, using <sup>15</sup>O positron emission tomography (PET) (3, 4). In two different brain systems (visual and somatosensory),

Fox et al. observed that task-induced increases in CMRO<sub>2</sub> were much lower than those in cerebral blood flow (CBF) and cerebral metabolic rate of glucose (CMR<sub>Gluc</sub>) (5, 6). The CMRO<sub>2</sub> shortfall during focal neuronal activation, in fact, caused a local oxygen surplus, with the oxygen extraction fraction (OEF) decreasing from a resting value of approximately 40% to a task-state value of approximately 20%. That is, 80% of the oxygen delivered during task performance was not metabolized. These findings clearly contradicted the Roy–Sherrington principle. Fox and colleagues suggested that (i) the energy demand associated with neuronal activation (as opposed to resting-state demand) is small (approximately 8% maximum possible increase in ATP consumption), (ii) the activation-induced increases in ATP consumption are from both oxidative and nonoxidative glycolysis, and (iii) CBF response must be regulated by factors other than oxidative metabolism and total energy demand. In later studies, CBF increase was observed to be modulated by byproducts of nonoxidative metabolism, such as lactate production ( $J_{Lac}$ ) (7). The observation that the stimulus-evoked increase in glucose consumption observed with PET is at least partially nonoxidative (i.e., lactate-producing) has been confirmed with <sup>1</sup>H NMR spectroscopic (MRS) measurements of tissue lactate concentration ([Lac]) (8, 9). Such uncoupling of CBF and CMRO<sub>2</sub> is the basis for the blood oxygenation level-dependent (BOLD) functional MRI (fMRI) contrast (10, 11).

During the past decade, fMRI techniques have been developed that enable physiological investigations of activation-induced hyperemia and hypermetabolism. Stimulus-evoked CMRO<sub>2</sub> change ( $\% \Delta CMRO_2$ ) between rest and activated states has been reported based on the biophysical BOLD model (12). In this BOLD model,  $\% \Delta CMRO_2$  is computed based on the task-induced changes of physiological parameters—oxygenation (i.e., BOLD), CBF, and cerebral blood volume (CBV)—and basal BOLD relaxation rate (M) via hypercapnic calibration (Eq. 1). All these parameters can be measured by MRI in a single setting. In the majority of fMRI studies, stimulus-evoked CMRO<sub>2</sub> changes and the flow–metabolism coupling relationship observed contradicted earlier PET observations (5, 6, 13–15). Specifically, with a similar neuronal stimulation, fMRI-measured  $\% \Delta CMRO_2$  was much higher than that of PET (20–30% vs. 5–10%). The relationship between task-induced  $\% \Delta CMRO_2$  and CBF change ( $\% \Delta CBF$ ) determined by fMRI appeared linear, i.e., with a constant ratio ( $\% \Delta CBF : \% \Delta CMRO_2$ , approximately 2:1) regardless of the stimulus intensity (14,

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15). However, a linear (i.e., with constant slope) flow–metabolism relationship was observed by PET only in the resting state (with a slope of approximately 0.97; ref. 6). During activation, a nonlinear coupling between  $\% \Delta \text{CBF}$  and  $\% \Delta \text{CMRO}_2$  was observed, with a coupling ratio that varied from 2 to 10 (5, 13). The discrepancy between PET and fMRI observations on flow–metabolism coupling during task was an obstacle to the further understanding of metabolic physiology for more than a decade. This discrepancy, however, recently has been resolved (16, 17). It is now clear that the discrepancy was due to incorrect simplifying assumptions of the fMRI model, as follows.

In most fMRI studies of CBF:CMRO<sub>2</sub> coupling, CBV was not measured and was instead estimated from CBF under the assumption that CBV and CBF have a constant power-law relationship (18). However, it has been shown the CBV–CBF relationship is not fixed during neuronal activation and rather changes with stimulus frequency and duration (19, 20). This erroneous assumption allowed CMRO<sub>2</sub> determinations to be incorrectly determined by CBF, necessarily showing a linear CBF:CMRO<sub>2</sub> relationship. An additional error made by semi-quantitative fMRI studies of CBF:CMRO<sub>2</sub> coupling was overestimating the M value, which causes overestimation of  $\% \Delta \text{CMRO}_2$ . By explicitly measuring each of the physiological parameters (CBV, CBF, and BOLD) and carefully determining the M value, we have found that the fMRI BOLD model yields  $\% \Delta \text{CMRO}_2$  values and a nonlinear flow–metabolism relationship (coupling ratio ranging from 2 to 8), in close agreement with the PET literature (16, 17).

With the revised model, we revisited the widely debated issue of flow–metabolism coupling during task-induced brain activation. In doing so, we employed explicit CBV measurements and rigorous M-value determination, and also concurrently measured task-induced changes in lactate by using <sup>1</sup>H MRS to differentiate the aerobic and anaerobic metabolic pathways. Specifically, we sought to (i) determine whether task-induced CBF augmentation was regulated by oxidative or nonoxidative metabolic pathways and (ii) quantify the relative contributions of oxidative and nonoxidative metabolic pathways in meeting the increased energy demands [ATP production ( $J_{\text{ATP}}$ )] of task-induced neuronal activation. The  $J_{\text{ATP}}$  was determined by a stoichiometric relationship between changes of CMRO<sub>2</sub> and  $J_{\text{Lac}}$ . A secondary goal of the study was to link our MRI results to current physiological hypotheses that are alternatives to the Roy–Sherrington principle. In this study, we induced focal activation using reversing checkerboard stimulation at three different frequencies: 4, 8, and 16 Hz. This paradigm was selected because it has been shown to reliably produce variable degrees of “uncoupling” between CBF and CMRO<sub>2</sub> (13, 16), with 4 Hz being more “coupled” than 8 or 16 Hz. All measurements were made in the same subjects and in the same sessions to minimize errors.

## Results

**MRI and MRS Results.** The group-averaged ( $n = 12$ )  $\% \Delta \text{BOLD}$ ,  $\% \Delta \text{CBF}$ , and CBV change ( $\% \Delta \text{CBV}$ ) are shown in Table 1. The magnitudes of the three quantities were increased in response to

stimulation peaking at 8 Hz, in agreement with previous studies (11, 13, 21). The basal BOLD relaxation rate (i.e., M value) determined from hypercapnic challenge was  $0.093 \pm 0.003$ . The magnitude of CMRO<sub>2</sub> increase in response to the stimulation reached a maximum at 4 Hz (Table 1). Locations and percentage changes in CBF and CMRO<sub>2</sub> at the three stimulus frequencies in primary visual cortex are shown in Fig. 1. In vivo <sup>1</sup>H NMR spectra acquired from the visual cortex during rest and three stimulation periods are shown in Fig. 2. The lactate peaks were visible at 1.33 ppm. Maximal [Lac] change ( $\% \Delta [\text{Lac}]$ ) was also observed at 8 Hz (Table 1). The  $\% \Delta J_{\text{Lac}}$  at each condition was determined by  $\% \Delta [\text{Lac}]$  over a period of 4 min (Table 1). Basal  $J_{\text{Lac}}$  [ $J_{\text{Lac}(r)}$ ] determined by Eq. 3 was  $0.27 \mu\text{mol/g/min}$ . The magnitudes of lactate production at the three levels of stimulation [ $J_{\text{Lac}(a)}$ ] were then calculated as  $J_{\text{Lac}(r)} \times (1 + \% \Delta J_{\text{Lac}})$ . For all variables measured, response magnitudes differed significantly across stimulation conditions (Table 1), with less uncoupling at 4 Hz than at 8 or 16 Hz. The most marked dissociation was in OEF ( $F = 73.6$ ,  $P = 6.9 \times 10^{-13}$ ), which confirmed the remarkable uncoupling between CMRO<sub>2</sub> and CBF at higher stimulation rates (13, 16).

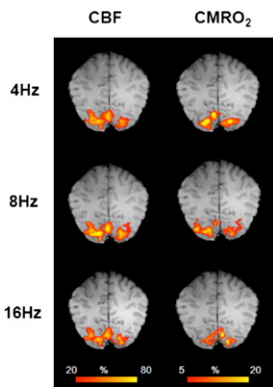
**$J_{\text{ATP}}$ : Aerobic Versus Anaerobic Contributions.** A  $J_{\text{ATP}(r)}$  of  $11.1 \mu\text{mol/g/min}$  was determined from basal CMRO<sub>2</sub> [ $\text{CMRO}_{2(r)}$ ] and  $\text{CMR}_{\text{Glc}}$  [ $\text{CMR}_{\text{Glc}(r)}$ ] (Eq. 2) (5, 22). Steady-state  $J_{\text{ATP}}$  at each activated condition [ $J_{\text{ATP}(a)}$ ] was determined from the stoichiometric relationships between  $\% \Delta J_{\text{Lac}}$  and  $\% \Delta \text{CMRO}_2$  (Eq. 5) (22). The results showed that the  $J_{\text{ATP}(a)}$ , both absolute quantification (in  $\mu\text{mol/g/min}$ ) and relative changes (as a percentage), were not significantly different among the three stimulus frequencies ( $P > 0.5$ ; Table 2 and Fig. 3A). The  $\Delta J_{\text{ATP}}$  range of 1.4 to  $1.9 \mu\text{mol/g/min}$  confirmed those reported previously in the PET literature (see summary in ref. 22). The  $J_{\text{ATP}}$  percent contributions from aerobic and anaerobic metabolism were then computed. The  $\% \Delta J_{\text{Lac}}$  contribution was considered anaerobic, whereas  $\% \Delta \text{CMRO}_2$  was aerobic. As expected,  $J_{\text{ATP}(a)}$  was predominantly caused by oxidative metabolism (approximately 98%) at 4 Hz, which represents the lowest  $\% \Delta J_{\text{Lac}}$  and highest  $\% \Delta \text{CMRO}_2$  of the three stimuli. Assuming 7% to 14% of  $J_{\text{ATP}(a)}$  was contributed by astrocytes (23, 24), it was deduced that neurons contribute 84% to 91%. Interestingly, a similar result of approximately 98% oxidative contribution in total to  $J_{\text{ATP}(a)}$  was also seen at 8 and 16 Hz, even though  $\% \Delta [\text{Lac}]$  increased while  $\% \Delta \text{CMRO}_2$  decreased (Table 2 and Fig. 3B). The  $\% \Delta J_{\text{ATP}}$  was thus shown to tightly correlate with  $\% \Delta \text{CMRO}_2$  ( $r = 1.00$ ,  $P < 0.001$ ; Fig. 4A).

**Comparison of  $\% \Delta \text{CBF}$  Versus  $\% \Delta J_{\text{Lac}}$  and  $\% \Delta \text{CMRO}_2$ .** As demonstrated in Table 1, both  $\% \Delta \text{CBF}$  and  $\% \Delta [\text{Lac}]$  (an approximation of  $\% \Delta J_{\text{Lac}}$ ) reached their maximum at 8 Hz. As a result,  $\% \Delta \text{CBF}$  was highly correlated with  $\% \Delta J_{\text{Lac}}$  ( $r = 0.91$ ,  $P < 0.001$ ; Fig. 4B). In contrast,  $\% \Delta \text{CMRO}_2$  reached a maximum at 4 Hz. As a result, nonlinear coupling and a negative correlation was found between  $\% \Delta \text{CBF}$  and  $\% \Delta \text{CMRO}_2$  ( $r = -0.64$ , Fig. 4C). The observed relationship between  $\% \Delta \text{CBF}$  and  $\% \Delta \text{CMRO}_2$  in this work is consistent with most recent fMRI and PET findings

**Table 1. Variables obtained with fMRI and <sup>1</sup>H MRS for each of the visual stimulation rates**

Rate	$\Delta \text{BOLD}$ , %	$\Delta \text{CBV}$ , %	$\Delta \text{CBF}$ , %	$\Delta \text{CMRO}_2$ , %	$\Delta \text{OEF}$ , %	$\Delta [\text{Lac}]$ , %	$\Delta J_{\text{Lac}}$ , %
4 Hz	$1.8 \pm 0.4$	$19.0 \pm 4.6$	$51.7 \pm 7.8$	$17.0 \pm 3.3$	$-22.7 \pm 1.4$	$31.3 \pm 4.4$	$7.8 \pm 1.1$
8 Hz	$2.5 \pm 0.2$	$28.5 \pm 3.8$	$65.1 \pm 5.9$	$13.4 \pm 4.0$	$-31.2 \pm 1.9$	$50.0 \pm 5.7$	$12.5 \pm 1.5$
16 Hz	$2.3 \pm 0.3$	$24.8 \pm 5.1$	$57.2 \pm 6.2$	$12.2 \pm 4.1$	$-28.9 \pm 2.3$	$46.1 \pm 4.7$	$11.5 \pm 1.2$
<i>F</i>	24.2***	24.3***	13.5**	5.8*	73.6****	25.8***	25.8***
Post hoc	4 << 8 = 16	4 << 8 = 16	4 << 8 = 16	4 < 8 = 16	4 << 8 = 16	4 << 8 = 16	4 << 8 = 16

Values are means  $\pm$  SD. *F* values were computed by one-way, repeated measures ANOVA, \*\*\*\* $P < 10^{-12}$ , \*\*\* $P < 10^{-6}$ , \*\* $P < 0.001$ , \* $P < 0.01$ . Post-hoc testing was performed per condition by Newman-Keuls test, where << indicates  $P < 0.001$ , < indicates  $P < 0.01$ , and = indicates  $P > 0.5$ .



**Fig. 1.** The location and magnitude of  $\% \Delta \text{CBF}$  and  $\% \Delta \text{CMRO}_2$  in primary visual cortex during 4-, 8-, and 16-Hz visual stimulation.

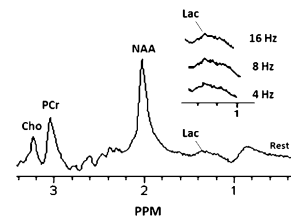
(16, 17, 25, 26). In addition,  $\% \Delta \text{CBF}$  correlated to a greater degree with  $\% \Delta J_{\text{Lac}}$  ( $P < 0.001$ ) than  $\% \Delta \text{CMRO}_2$  ( $P = 0.024$ ).

### Discussion

The major findings were that (i) task-induced increases in oxygen metabolism and energy demand were small (12–17%), (ii) oxidative (indexed by  $\text{CMRO}_2$ ) and nonoxidative (indexed by lactate production) metabolism coexisted during visual stimulation, and (iii) CBF increases were much larger (52–65%) than the increases in energy demand and were highly correlated ( $r = 0.91$ ) with lactate production, but not with  $\text{CMRO}_2$ . The first observation was consistent with prior PET findings (13, 25). The second observation (i.e., increase in nonoxidative metabolism) echoed previous MRS results (8, 9). The third observation was in line with PET results (5, 13) but disagreed with fMRI studies that made the assumptions discussed earlier (14, 15) and with the Roy–Sherrington principle. Further, the conclusion of  $J_{\text{ATP}}$  being met through oxidative metabolism was in good agreement with previous fMRI literature (14, 15), but not with PET literature (5, 6).

Collectively, the functional imaging literature (PET, fMRI, and MRS) has forced the development of alternatives to the Roy–Sherrington hypothesis. Of these, the astrocyte–neuron lactate shuttle (ANLS) hypothesis is the most conceptually evolved and widely accepted (27). The ANLS hypothesis posits a cooperation between neurons and glia in meeting the activation-induced needs for energy production (i.e., ATP production) and for neurotransmitter production, as follows (ref. 27 and figure 4 in ref. 23). Upon neuronal firing, glucose is taken up and metabolized in neurons and astrocytes. The majority of the glucose is taken up by the astrocytes; the remainder by neurons (23). Glucose consumption in neurons is small but entirely oxidative (23, 28). Astrocytic glucose consumption, conversely, is large but much less efficient by virtue of being predominantly glycolytic (29). Astrocytic glycolysis supports  $\text{Na}^+/\text{K}^+$  ion pumping and glutamate–glutamine (Glu–Gln) conversion. Glial lactate (produced by glycolysis) is eventually transported to neurons as fuel, but with some loss into the circulation, which increases hyperemia (7). The ANLS hypothesis implies that (i) increases in  $\text{CMR}_{\text{Glc}}$  are for purposes other than oxygen demand, e.g., for astrocyte-mediated neurotransmitter recycling; (ii) task-induced oxygen demand is small; and (iii) CBF increases are regulated by factors other than oxidative metabolism.

Our three findings were in good agreement with the ANLS hypothesis. The first finding (i.e., small  $\text{CMRO}_2$  increase) is in line with the ANLS implication that the energy demands of acute, transient increases in neuronal activity are small (approximately 15% increase in  $\text{CMRO}_2$  at most) (30). One speculation is that mitochondrial cytochrome oxidase activity is regulated by chronic energy requirements, averaged over long periods of time (31, 32). Consequently, surge increases in neuronal activity cannot be ac-



**Fig. 2.** Localized  $^1\text{H}$  spectra from primary visual cortex at resting and the three visual stimulation rates (4, 8, and 16 Hz). The lactate peaks are shown at 1.33 ppm.

companied by large increases in oxygen consumption. Specifically, the increases in ATP production during transient neuronal activations cannot be large (33), as in the present study (12–17%). As neuronal activation continues, based on the ANLS hypothesis, oxidative metabolism is expected to increase as a result of the uptake of glially produced lactate into the tricarboxylic acid cycle by the neurons as a fuel substrate. In support of this formulation, prolonged visual stimulation (>20 min) has been reported to induce gradually rising levels of  $\text{CMRO}_2$  and gradually decreasing  $\text{CMR}_{\text{Glc}}$  and  $J_{\text{Lac}}$  (8, 16, 26, 34, 35).

The second finding supports the ANLS hypothesis construct of two metabolic pathways (oxidative and nonoxidative) that are coexisting, dissociable, and serve different purposes. Oxidative metabolism is predominantly neuronal and supports ATP production for the release of neurotransmitters, whereas non-oxidative metabolism mainly occurs in astrocytes and supports Glu–Gln recycling and induces lactate-mediated hyperemia (see the following paragraph). Even though the percentage increases in lactate concentration were far more than that of  $\text{CMRO}_2$ , the energy demand (i.e.,  $J_{\text{ATP}}$ ) was predominantly (approximately 98%) met through oxidative metabolism in all stimulation conditions. However, the dramatic increase in lactate concentration indicates that glucose metabolism shifts toward the nonoxidative pathway during neuronal activation. This finding was consistent with the observation of tasked-induced declines in oxygen–glucose index (calculated as  $\text{CMRO}_2 / \text{CMR}_{\text{Glc}}$ ) reported in other studies (36). Nonetheless, the energy demand is still largely met through the oxidative pathway (aerobic ATP yield has a 19/3 coefficient compared with anaerobic yield; Eq. 5). More than 97% of  $J_{\text{ATP}}$  was supported by oxidative metabolism during all three levels of the visual stimulation (Tables 1 and 2). As a result, changes in  $\text{CMRO}_2$  and  $J_{\text{ATP}}$  were linearly coupled (Fig. 4A), consistent with previous findings (refs. 22, 37; similar results shown in figure 6 of ref. 22). However, unlike the other physiological variables measured (e.g., BOLD, CBF, CBV,  $\text{CMRO}_2$  and  $[\text{Lac}]$ ), the effect of stimulus rate on  $\% \Delta J_{\text{ATP}}$  did not achieve statistical significance ( $F = 0.2$ ), despite a similar effect size. This lack of significance is best attributed to additive error terms, as calculation of  $\% \Delta J_{\text{ATP}}$  incorporates several other independent variables, each of which have measurement errors (Eq. 5).

The third finding agrees with PET reports that  $\% \Delta \text{CBF}$  correlates well with  $\% \Delta J_{\text{Lac}}$ , but not with  $\% \Delta \text{CMRO}_2$  (13, 22, 29). This finding is consistent with the ANLS model prediction that a portion of the lactate produced by astrocytic anaerobic glycolysis is released into the blood. The increased lactate:pyruvate and  $\text{NADH}:\text{NAD}^+$  ratios in blood then activate the nitric oxide signaling pathway, increasing CBF (7, 38, 39). It should be noted, however, that astrocytic glycolytic metabolism is not the sole mechanism mediating the CBF response. Activation-induced CBF increases are also mediated by  $\text{Ca}^{2+}$ ,  $\text{K}^+$ , and adenosine signaling pathways (40–42). Future studies will determine the relative contributions of these (and other) signaling pathways mediating the CBF response.

**Table 2. Calculated ATP production and its related oxidative versus nonoxidative contributions**

Rate	$J_{ATP(a)}$ , $\mu\text{mol/g/min}$	$\Delta J_{ATP}$ , $\mu\text{mol/g/min}$	$\Delta J_{ATP}$ , %	$\Delta J_{ATP}$ , % (aerobic)	$\Delta J_{ATP}$ , % (anaerobic)
4 Hz	$13.0 \pm 0.2$	$2.0 \pm 0.2$	$16.7 \pm 1.8$	$97.8 \pm 2.0$	$2.2 \pm 2.0$
8 Hz	$12.6 \pm 0.6$	$1.5 \pm 0.6$	$13.4 \pm 5.4$	$97.6 \pm 2.1$	$2.4 \pm 2.1$
16 Hz	$12.5 \pm 0.8$	$1.4 \pm 0.8$	$12.2 \pm 7.2$	$97.6 \pm 2.1$	$2.4 \pm 2.1$
<i>F</i>	0.2	0.2	0.2	0.1	0.1
Post hoc	4 = 8 = 16	4 = 8 = 16	4 = 8 = 16	4 = 8 = 16	4 = 8 = 16

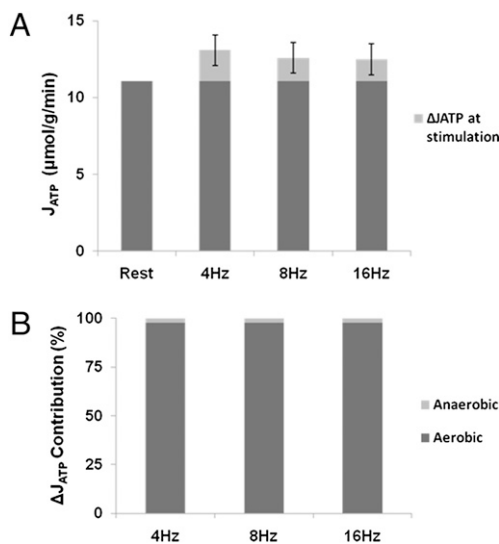
Values are means  $\pm$  SD. The  $J_{ATP(r)}$  was determined by Eq. 2 = 11.1 ( $\mu\text{mol/g/min}$ ). *F* values were computed by one-way, repeated measures ANOVA. Post hoc testing was performed per condition by Newman-Keuls test, where = indicates  $P > 0.5$ .

The negative correlation between  $\% \Delta \text{CBF}$  and  $\% \Delta \text{CMRO}_2$  indicates that oxygen demands are not mediating blood flow responses. This agrees with the observation that oxygen consumption remains elevated during the postactivation period after CBF and CBV have returned to baseline (43). Similarly, Mintun et al. (44) used computational modeling to demonstrate that adequate tissue levels of  $\text{O}_2$  can be maintained without the need for increased CBF and confirmed with PET that regional increases in CBF during visual stimulation were not affected by hypoxia. Collectively, these observations argue against the hypothesis that task-induced CBF increases are needed to maintain tissue  $\text{O}_2$  concentrations or to increase the blood–brain  $\text{O}_2$  gradient to stimulate  $\text{O}_2$  delivery. Rather, they strongly indicate that task-induced CBF increases are regulated by factors other than local  $\text{O}_2$  demand.

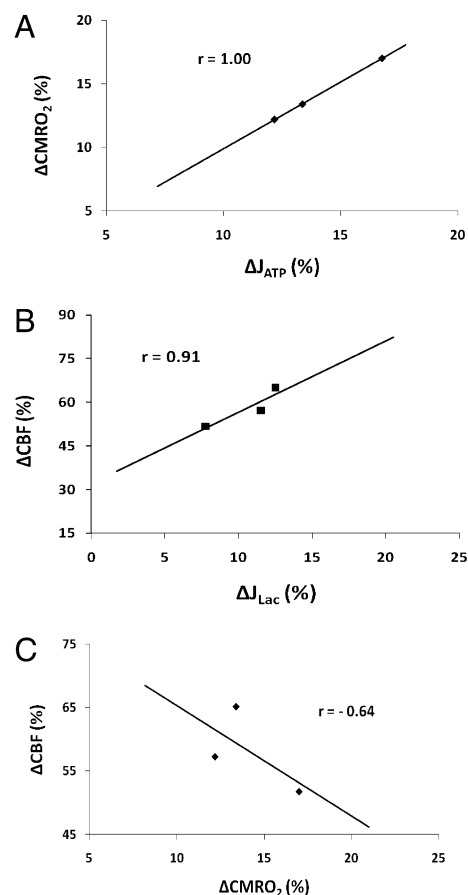
The findings presented here are consistent with current models of activation-induced cerebrovascular autoregulation, including the ANLS model. However, theories of neurovascular and neurometabolic coupling are continuously evolving and aspects of the ANLS model remain controversial, such as whether the lactate used by neurons as a substrate arises from astrocytic or neuronal activity (35) and whether lactate is the preferential substrate of neurons for neurotransmission-related energy needs are topics of active debate (reviewed in ref. 45). Further, Brand (28) proposes that oxidative phosphorylation can be elevated without raising oxygen consumption by the means of deactivation of uncoupling protein, which may provide an alternative explanation for relative low levels of  $\text{CMRO}_2$  change

during brain activation. Finally, whether  $J_{ATP}$  is constant during continuous stimulation, as assumed in this study, remains as subject of investigation. Further investigations are needed to resolve these issues. In particular, measures of ATP production, quantitative  $\text{CMRO}_2$ , and  $\text{CMR}_{\text{Glc}}$  using other MRI techniques, such as  $^{31}\text{P}$ ,  $^{17}\text{O}$ , and  $^{13}\text{C}$  MRS would be important.

In conclusion, we have reported fMRI-derived within-subject, within-session comparisons of  $\% \Delta \text{CBF}$ ,  $\% \Delta \text{CMRO}_2$ ,  $\% \Delta J_{\text{Lac}}$ , and  $\% \Delta J_{ATP}$  during graded visual stimulation. Our observations demonstrate that PET and fMRI results can be brought into agreement and, jointly, can inform theories of neurovascular coupling. Our results confirm that the energy demands of acute neuronal activation, although small, are met through oxidative metabolism and that CBF is regulated by factors other than oxygen demand.



**Fig. 3.** (A) The  $J_{ATP}$  at rest and the three levels of visual stimulation. The  $J_{ATP}$  rates at activations are independent stimulus rates. The increments at activation are small ( $1.4\text{--}2.0 \mu\text{mol/g/min}$ ) compared with rest ( $11.1 \mu\text{mol/g/min}$ ). (B) The aerobic and anaerobic relative contributions (as percentages) to  $\Delta J_{ATP}$ . The  $\Delta J_{ATP}$  at the three stimulation rates is predominately a result of aerobic metabolism (approximately 98%, including both neuronal and astrocytic contributions).



**Fig. 4.** (A)  $\text{CMRO}_2$ –ATP coupling. Significant correlation was shown between  $\% \Delta \text{CMRO}_2$  and  $\% \Delta J_{ATP}$  at the three visual stimulation rates ( $r = 1.00$ ,  $P < 0.001$ ). (B) CBF–lactate coupling. Significant correlation was shown between  $\% \Delta \text{CBF}$  and  $\% \Delta J_{\text{Lac}}$  at the three visual stimulation rates ( $r = 0.91$ ,  $P < 0.001$ ). (C) CBF– $\text{CMRO}_2$  coupling. Negative correlation was shown between  $\% \Delta \text{CBF}$  and  $\% \Delta \text{CMRO}_2$  at the three visual stimulation rates ( $r = -0.64$ ,  $P = 0.024$ ).

## Materials and Methods

**Subjects.** Twelve healthy volunteers (seven men and five women) between the ages of 22 and 38 y participated in this study. The institutional review board of the University of Texas Health Science Center at San Antonio approved the protocol. Written informed consent was obtained from each participant.

**fMRI Data Acquisition and Analysis.** Experiments were performed on a 3-T Trio MRI scanner (Siemens) with simultaneous, interleaved vascular space occupancy (VASO), arterial spin-labeled (ASL), and BOLD measurements (16, 17). VASO signals were used for determining  $\% \Delta \text{CBV}$  (46). A standard transmit/receive head coil was used. A single oblique axial slice (6 mm in thickness) that included the primary visual cortex was chosen for functional imaging. Images were acquired with a field of view of 26 cm and in-plane matrix size of  $64 \times 64$ . The echo times (TEs) were 9.4 ms for VASO images, 11.6 ms for ASL images, and 28.1 ms for BOLD images, with a repetition time (TR) of 2,000 ms. The inversion times (TI1; blood nulling point) were 610 ms for VASO images and 1,200 ms for ASL images (TI2). The inversion slab thickness was 100 mm. During an inversion recovery cycle, three images sensitive to VASO, ASL, and BOLD, respectively, were collected. High-resolution T1-weighted anatomical images were obtained with TR/TE/flip angle = 500 ms/11 ms/90°, slice thickness of 6 mm, and in-plane resolution of  $1 \times 1$  mm. During the functional study, subjects were shown a black-and-white radial checkerboard reversing its contrast at frequencies of 4, 8, and 16 Hz. The visual stimulation paradigm consisted of a 4-min visual stimulus at each frequency alternating with 4-min baseline condition (eyes closed). Data were processed and analyzed using MatLab 7 software (MathWorks). For each subject, functional images were coregistered with the anatomical images. Two image pairs acquired after the onset and cessation of each task period were excluded from data analysis to account for the transition time of the hemodynamic response. The VASO image series was obtained by adding the adjacent slab-selective and non-selective images acquired from the first echo in the inversion recovery sequence. The ASL/BOLD image series was obtained by subtracting/adding the adjacent slab-selective and nonselective images from the second/third echo in the sequence. For functional studies, the images (VASO, ASL, and BOLD) acquired during the resting period (4 min) were regarded as baseline images. Student *t* tests were used to compare “baseline” and each frequency “stimulus” signals. The threshold was set to  $t = 3.0$  ( $P < 0.005$ ). For each subject, the VASO, ASL, and BOLD functional maps as well as the high-resolution T1-weighted anatomical images were normalized to a standard brain coordinate (Talairach space). The functional maps were then registered to the anatomical images using a convex Hull algorithm (47). Only those common activation areas (in a total volume of  $10.1 \pm 1.4 \text{ cm}^3$  that includes  $120 \pm 16$  voxels) that passed the statistically significant threshold for all of the VASO, ASL, and BOLD functional maps across all three visual stimulation frequencies were used for calculating the average values of the  $\% \Delta \text{CBV}$ ,  $\% \Delta \text{CBF}$ , and  $\% \Delta \text{BOLD}$ , respectively. The three functional quantities were then used to calculate the  $\% \Delta \text{CMRO}_2$  (12, 14–17):

$$\% \Delta \text{CMRO}_2 = \left( 1 - \frac{(\% \Delta \text{BOLD})}{M} \right)^{\frac{1}{\beta}} \cdot (1 + \% \Delta \text{CBV})^{-\frac{1}{\beta}} \cdot (1 + \% \Delta \text{CBF}) - 1 \quad [1]$$

where  $\beta$  is 1.5. *M* is the basal BOLD relaxation rate (12), determined typically by hypercapnia challenge, as follows. Mild hypercapnia was induced (5%  $\text{CO}_2$ , 20%  $\text{O}_2$ , balance  $\text{N}_2$ ) through a nonbreathing face mask with 1 block of 4 min off/4 min on. End-tidal  $\text{CO}_2$  was monitored by means of a nasal cannula with an aspirator. The sequence and imaging parameters were identical to those used in functional studies.

**Hydrogen H 1 NMR Data Acquisition and Analysis.** Following the fMRI study, the in vivo  $^1\text{H}$  NMR spectra were obtained using the point-resolved spectroscopy localization approach with a TR and TE of 2,000 ms and 30 ms, respectively. The spectral width was 24 Hz. A voxel of interest was positioned within the primary visual cortex (V1) centered on the calcarine fissure. The voxel of interest was  $25 \times 21 \times 30$  mm for a volume of  $15.8 \text{ cm}^3$ . Visual stimulation was performed as described earlier. The paradigm consisted of 4 min (120 averages) visual stimulus at each frequency alternating with 4 min baseline condition. Data (i.e., free induction decay) for every 120 averages were summed in blocks and further processed using Nuts NMR data processing software (Acorn NMR), including a Fourier transform, frequency correction, phase correction, and baseline correction of the free induction decay. Lactate concentrations during resting and activation states were determined from the ratio of intergraded intensities centered at 1.33 ppm and the *N*-acetylaspartate resonance at 2.02 ppm. Relative lactate concentration  $[\Delta[\text{Lac}(\%)]]$  was determined by comparing the activation states to the resting state.  $\Delta[\text{Lac}(\%)]$  was determined with  $\Delta[\text{Lac}]$  divided by intergraded time period (4 min).

**$J_{\text{ATP}}$  and  $J_{\text{Lac}}$  Determination.** The steady-state  $J_{\text{ATP}(r)}$  and  $J_{\text{Lac}(r)}$  ( $\mu\text{mol/g/min}$ ) can be determined by the stoichiometric relationships as shown in Eqs. 2 and 3, respectively (22).

$$J_{\text{ATP}(r)} = 2\text{CMR}_{\text{Glc}(r)} + 6\text{CMRO}_{2(r)} \quad [2]$$

$$2\text{CMR}_{\text{Glc}(r)} = J_{\text{Lac}(r)} + \frac{1}{3}\text{CMRO}_{2(r)} \quad [3]$$

where *r* denotes the resting state.  $\text{CMR}_{\text{Glc}(r)}$  and  $\text{CMRO}_{2(r)}$  in visual cortex— $0.42 \mu\text{mol/g/min}$  and  $1.71 \mu\text{mol/g/min}$ , respectively—were obtained from the literature (5). By substituting Eq. 3 into Eq. 2, the  $J_{\text{ATP}(r)}$  can be rearranged as follows:

$$J_{\text{ATP}(r)} = J_{\text{Lac}(r)} + \frac{19}{3}\text{CMRO}_{2(r)} \quad [4]$$

With continuous 4 min visual stimulation, it was assumed that  $J_{\text{ATP}}$  during activation also reached a steady state. The  $J_{\text{ATP}}$  at three levels of stimulation ( $J_{\text{ATP}(a)}$ ) can then be calculated as:

$$J_{\text{ATP}(a)} = J_{\text{Lac}(r)} \times (1 + \% \Delta J_{\text{Lac}}) + \frac{19}{3}\text{CMRO}_{2(r)} \times (1 + \% \Delta \text{CMRO}_2) \quad [5]$$

where *a* denotes activation state for each visual stimulus. The  $\% \Delta J_{\text{Lac}}$  and  $\% \Delta \text{CMRO}_2$  were obtained at each visual stimulation condition.

**Statistics.** All of the measured variables at the three levels of stimulation were compared with by one-way, repeated-measures ANOVA. Post-hoc testing per condition was done by Newman-Keuls test.

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